

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:  TANZI <i>et al.</i>	Confirmation No. N/A
Appl. No. To be assigned (DIV of Appl. No. 09/148,503)	Art Unit: To be assigned
Filed: Herewith (August 10, 2001)	Examiner: To be assigned
For: <b>An Alpha-2-Macroglobulin Diagnostic Test</b>	Atty. Docket: 0609.4460004/JAG/HLK

**Authorization To Treat A Reply As Incorporating An Extension Of  
Time Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

  
Heidi L. Kraus  
Attorney for Applicants  
Registration No. 43,730

Date: August 10, 2001

1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934  
(202) 371-2600

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PTO/SB/05 (03-01)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	0609.4460004/JAG/HLK
First Inventor	Rudolph E. TANZI
Title	An Alpha-2-Macroglobulin Diagnostic Test
Express Mail Label No.	

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 57]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages 3]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

1033 U.S. PTO  
09/925313  
08/10/01

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Authorization under 37 C.F.R. § 1.136(a)(3); Letter to Draftsman; Formal drawings (3 sheets, Figures 1, 2A-2D & 3)  
 Other: The name of the assignee is: The General Hospital Corporation

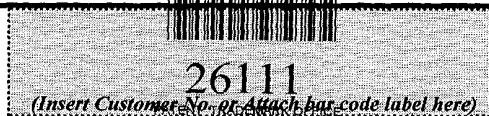
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-Part (CIP)  
Prior application information: Examiner: Lisa Arthur of prior application No: 09/148,503  
Group/Art Unit: 1655

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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or  Correspondence address below

NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.		
	Attorneys at Law		
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	
NAME (Print/Type)	Heidi L. Kraus		Registration No. (Attorney/Agent)
SIGNATURE			Date <u>8/10/01</u>

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$355.00)

## Complete if Known

Application Number	To be assigned
Filing Date	Herewith (August 10, 2001)
First Named Inventor	Rudolph E. Tanzi
Examiner Name	To be assigned
Group Art Unit	To be assigned
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																																																																										
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number <b>19-0036</b></p> <p>Deposit Account Name <b>Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.</b></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>		<p>3. 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\*\* or number previously paid, if greater; For Reissues, see above

Complete (if applicable)

Name (Print/Type)	Heidi L. Kraus	Registration No. (Attorney/Agent)	43,730	Telephone	202-371-2600
Signature	<i>Heidi L. Kraus</i>			Date	8/10/01

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SKGF Rev. 11/13/00 mnc

10/01/01  
J1054 U.S.

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934

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\*LIMITED TO MATTERS  
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August 10, 2001

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Washington, D.C. 20231

**Box Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
(Divisional of 09/148,503; Filed: September 4, 1998)  
Appl. No. To be assigned; Filed: Herewith (August 10, 2001)  
For: **An Alpha-2-Macroglobulin Diagnostic Test**  
Inventors: TANZI *et al.*  
Our Ref: 0609.4460004/JAG/HLK

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal (Form PTO/SB/17) (*in duplicate*);
2. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
3. Application Data Sheet Under 37 C.F.R. § 1.76;
4. U.S. Utility Patent Application entitled:

**An Alpha-2-Macroglobulin Diagnostic Test**

Commissioner for Patents

August 10, 2001

Page 2

and naming as inventors:

Rudolph E. TANZI, and  
Deborah L. BLACKER

the application consisting of:

- a. A specification containing:
  - i. 51 pages of description prior to the claims;
  - ii. 5 pages of claims (32 claims);
  - iii. a one (1) page abstract;
- b. 3 sheets of drawings: (Figures 1, 2A-2D and 3); and
- c. A paper copy of a sequence listing;
- d. A computer readable copy of the sequence listing. In accordance with 37 C.F.R. § 1.821(f), the paper copy of the sequence listing and the computer readable copy of the sequence listing submitted herewith in the above application are the same;

5. Preliminary Amendment and Statement Under 37 C.F.R. § 1.63(d)(2) Deleting Inventor;
6. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
7. Letter to PTO Draftsman: Submission of Formal Drawings (*in duplicate*);
8. Formal drawings (3 sheets, Figures 1, 2A-2D and 3);
9. A copy of the Declaration for Patent Application executed by Rudolph E. Tanzi, as originally filed in U.S. Appl. No. 09/148,503 (3 pages);
10. A copy of the Declaration for Patent Application executed by Deborah L. Blacker, as originally filed in U.S. Appl. No. 09/148,503 (3 pages);

09/148,503

Commissioner for Patents

August 10, 2001

Page 3

11. A copy of the executed Power of Attorney from Assignee as filed in U.S. Appl. No. 09/148,503;
12. A Certificate under 37 C.F.R. § 3.73(b) from Assignee with a copy of the Assignment attached;
13. Two (2) return postcards; and
14. Our Check No. **32178** for **\$355.00** to cover:

**\$355.00** Filing fee for patent application.

In accordance with 37 C.F.R. § 1.821(f), the paper copy of the sequence listing and the computer readable copy of the sequence listing submitted herewith in the above application are the same.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Heidi L. Kraus  
Attorney for Applicants  
Registration No. 43,730

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
Fee Record Sheet

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